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Technology Center 2100

10/054,241

PTO/SB/21 (08-00)

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Application Number

TRANSMITTAL			Filing Date	January 22, 2002
FORM			First Named Inventor	John K. Waiton
(to be used for all correspondence after initial filing)			Group Art Unit	2182
•			Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission X			Attorney Docket Number	EMC2-078AUS
ENCLOSURES (check all that apply)				
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application		Clor an A Drawing Licensin Petition Petition Provisio Power of Change Address Termina Reques	nent Papers (pplication) (s) g-related Papers to Convert to a nal Application of Attorney, Revocation of Correspondence It Disclaimer of the Refund In the Refund	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice. Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return-receipt postcard 14 References PTO-1449 Form
Response to Missing Parts under 37 CFR 1.52 or 1.53		In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	Richard M. Sharkansky Reg. No. 25,800 Daly, Crowley & Mofford, LLP			
Signature //				
Date May 16, 2002				
CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 16, 2002				
.,,		a Maria Keel		
Signature Unva Maria Kell Date May 16, 2002				

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PATENT

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Applicant(s):

John K. Walton et al.

Application No.:

10/054,241

Filing Date:

January 22, 2002

Entitled:

DATA STORAGE SYSTEM

Docket:

EMC2-078AUS

JUN 1 4 2002

Group Art Unit: 2182

Technology Center 2100

Examiner: Not Yet Assigned

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

The enclosed Information Disclosure Statement is being filed: within three [X]**(1)** months of the filing date; or within three months of the entry of the national stage of the aboveidentified application; or before the mailing of a first Office Action on the merits; or before the

A ication No.: 10/054,241 Filing Date: January 22, 2002

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mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

[] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and,

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to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (5) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (6) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a

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foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

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The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

Date: 5/14/02

By:

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EMC2-078AUS-IDS Letter